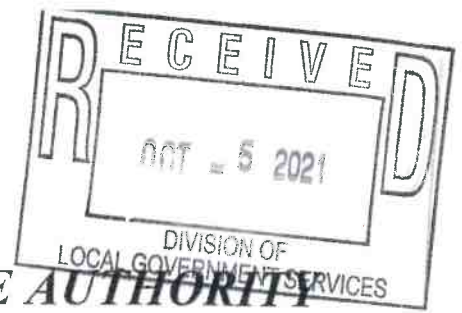


Authority Budget of:



STONY BROOK REGIONAL SEWERAGE AUTHORITY

State Filing Year

2021

ADOPTED COPY

For the Period:

December 1, 2021

to

November 30, 2022

www.sbrsa.org

Authority Web Address



Division of Local Government Services

2022 AUTHORITY BUDGET

Certification Section

2022

Stony Brook Regional Sewerage Authority

AUTHORITY BUDGET

FISCAL YEAR: 2022 FROM December 1, 2021 TO November 30, 2022

For Division Use Only

CERTIFICATION OF APPROVED BUDGET

It is hereby certified that the approved Budget made a part hereof complies with the requirements of law and the rules and regulations of the Local Finance Board, and approval is given pursuant to N.J.S.A. 40A:5A-11.

*State of New Jersey
Department of Community Affairs
Director of the Division of Local Government Services*

By: Paul D Cwert CPA, RMA Date: 9/8/2021

CERTIFICATION OF ADOPTED BUDGET

It is hereby certified that the adopted Budget made a part hereof has been compared with the approved Budget previously certified by the Division, and any amendments made thereto. This adopted Budget is certified with respect to such amendments and comparisons only.

*State of New Jersey
Department of Community Affairs
Director of the Division of Local Government Services*

By: Paul D Cwert CPA, RMA Date: 10/6/2021

2022 PREPARER'S CERTIFICATION

Stony Brook Regional Sewerage Authority

AUTHORITY BUDGET

FISCAL YEAR: 2022 **FROM:** December 1, 2021 **TO:** November 30, 2022

It is hereby certified that the Authority Budget, including both the Annual Budget and the Capital Budget/Program annexed hereto, represents the members of the governing body's resolve with respect to statute in that: all **estimates** of revenue are reasonable, **accurate** and correctly stated; all items of appropriation are properly set forth; and in itemization, form and content, the budget will permit the exercise of the comptroller function within the Authority.

It is further certified that all proposed budgeted amounts and totals are correct. Also, I hereby provide reasonable assurance that all assertions contained herein are **accurate** and all required schedules are completed and **attached**.

Preparer's Signature:			
Name:	William Chong		
Title:	Finance Director		
Address:	290 River Road Princeton NJ 08540		
Phone Number:	609-924-8881	Fax Number:	609-924-2857
E-mail address	wchong@sbrsa.org		

2022 APPROVAL CERTIFICATION


Stony Brook Regional Sewerage Authority

AUTHORITY BUDGET

FISCAL YEAR: 2022 **FROM:** December 1, 2021 **TO:** November 30, 2022

It is hereby certified that the Authority Budget, including all schedules appended hereto, are a true copy of the Annual Budget and Capital Budget/Program approved by resolution by the governing body of the Stony Brook Regional Sewerage Authority, at an open public meeting held pursuant to N.J.A.C. 5:31-2.3, on the 27th day of September, 2021.

It is further certified that the recorded vote appearing in the resolution represents not less than a majority of the full membership of the governing body thereof.

Officer's Signature:			
Name:	Antonia Pchola		
Title:	Executive Director		
Address:	290 River Road Princeton NJ 08540		
Phone Number:	609-924-8881	Fax Number:	609-924-2857
E-mail address	apchola@sbrsa.org		

INTERNET WEBSITE CERTIFICATION

Authority's Web Address:	www.sbrsa.org
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All authorities shall maintain either an Internet website or a webpage on the municipality's or county's **Internet** website. The purpose of the website or webpage shall be to provide increased public access to the authority's operations and activities. N.J.S.A. 40A:5A-17.1 requires the following items to be included on the Authority's website at a minimum for public disclosure. Check the boxes below to certify the Authority's compliance with N.J.S.A. 40A:5A-17.1.

- A description of the Authority's mission and responsibilities
- Budgets for the current fiscal year and immediately preceding two prior years
- The most recent Comprehensive Annual Financial Report (Unaudited) or similar financial information (**Similar Information is such as PIE Charts, Bar Graphs etc. for such items as Revenues, Expenditures, and other information the Authority deems relevant to inform the public**)
- The complete annual audits of the most recent fiscal year and immediately two prior years
- The Authority's rules, regulations and official policy statements deemed relevant by the governing body of the **authority** to the interests of the residents within the authority's service area or jurisdiction
- Notice posted pursuant to the "Open Public Meetings Act" for each meeting of the Authority, setting forth the time, date, location and agenda of each meeting
- The approved minutes of each meeting of the Authority including all resolutions of the board and their committees; for at least three consecutive fiscal years
- The name, mailing address, electronic mail address and phone number of every person who exercises day-to-day supervision or management over some or all of the operations of the Authority
- A list of attorneys, advisors, consultants and any other person, firm, business, partnership, corporation or other organization which received any remuneration of \$17,500 or more during the preceding fiscal year for any service whatsoever rendered to the Authority.

It is hereby certified by the below authorized representative of the Authority that the Authority's website or webpage as identified above complies with the minimum statutory requirements of N.J.S.A. 40A:5A-17.1 as listed above. A check in each of the above boxes signifies compliance.

Name of Officer Certifying compliance

Antonia Pchola, P.E.

Title of Officer Certifying compliance

Executive Director

Signature



2022 AUTHORITY BUDGET RESOLUTION

Stony Brook Regional Sewerage Authority Resolution #2021-060

FISCAL YEAR: 2022 **FROM:** December 1, 2021 **TO:** November 30, 2022

WHEREAS, the Annual Budget and Capital Budget for the Stony Brook Regional Sewerage Authority for the fiscal year beginning December 1, 2021 and ending November 30, 2022 has been presented before the governing body of the said Authority at its open public meeting on August 23, 2021; and

WHEREAS, the Annual Budget as introduced reflects Total Revenues of \$16,809,815, Total Appropriations, including any Accumulated Deficit if any, of \$17,259,815 and Total Unrestricted Net Position utilized of \$450,000; and

WHEREAS, the Capital Budget as introduced reflects Total Capital Appropriations of \$ 21,228,000 and Total Unrestricted Net Position planned to be utilized as funding thereof, of \$ 1,733,000; and

WHEREAS, the schedule of rates, fees and other charges in effect will produce sufficient revenues, together with all other anticipated revenues to satisfy all obligations to the holders of bonds of the Authority, to meet operating expenses, capital outlays, debt service requirements, and to provide for such reserves, all as may be required by law, **regulation** or terms of contracts and agreements; and

WHEREAS, the Capital Budget/Program, pursuant to N.J.A.C. 5:31-2, does not confer any authorization to raise or expend funds; rather it is a document to be used as part of the said Authority's planning and **management objectives**. Specific authorization to expend funds for the purposes described in this section of the budget, must be granted elsewhere; by bond resolution, by a project financing agreement, by resolution appropriating funds from the Renewal and Replacement Reserve or other means provided by law.

NOW, THEREFORE BE IT RESOLVED, by the governing body of the Stony Brook Regional Sewerage Authority, at an open public meeting held on August 23, 2021 that the Annual Budget, including all related schedules, and the Capital Budget/Program of the said Authority for the fiscal year beginning December 1, 2021 and ending November 30, 2022 is hereby approved; and

BE IT FURTHER RESOLVED, that the anticipated revenues as reflected in the Annual Budget are of sufficient amount to meet all proposed expenditures/expenses and all covenants, terms and provisions as stipulated in the said Authority's outstanding debt obligations, capital lease arrangements, service **contracts**, and other pledged agreements; and

BE IT FURTHER RESOLVED, that the governing body of the Stony Brook Regional Sewerage Authority will consider the Annual Budget and Capital Budget/Program for adoption on October 25, 2021.



Antonia Shurott-Pchola, P.E., Secretary

8/25/2021
Date

Governing Body Member:	Recorded Vote			
	Aye	Nay	Abstain	Absent
Gale D. Downey	X			
C. Schuyler Morehouse				X
David A. Goldfarb	X			
Bharat Patel	X			
Pamela Switlik	X			
Miquel Vilaro-Munet	X			


2022 ADOPTION CERTIFICATION

Stony Brook Regional Sewerage Authority

AUTHORITY BUDGET

FISCAL YEAR: 2022 **FROM:** December 1, 2021 **TO:** November 30, 2022

It is hereby certified that the Authority Budget and Capital Budget/Program annexed hereto is a true copy of the Budget adopted by the governing body of Stony Brook Regional Sewerage Authority, pursuant to N.J.A.C. 5:31-2.3, on the 27th day of September, 2021.

Officer's Signature:			
Name:	Antonia Pchola		
Title:	Executive Director		
Address:	290 River Road Princeton NJ 08540		
Phone Number:	609-924-8881	Fax Number:	609-924-2857
E-mail address	apchola@sbrsa.org		

2022 ADOPTED BUDGET RESOLUTION

**Stony Brook Regional Sewerage Authority
Resolution #2021-070**

FISCAL YEAR: 2022 FROM: December 1, 2021 TO: November 30, 2022

WHEREAS, the Annual Budget and Capital Budget/Program for the Stony Brook Regional Sewerage Authority (the "Authority") for the fiscal year beginning December 1, 2021 and ending November 30, 2022 has been presented for adoption before the governing body of the Authority at its open public meeting of September 27, 2021; and


WHEREAS, the Annual Budget and Capital Budget as presented for adoption reflects each item of revenue and appropriation in the same amount and title as set forth in the introduced and approved budget, including all amendments thereto, if any, which have been approved by the Director of the Division of Local Government Services; and

WHEREAS, the Annual Budget as presented for adoption reflects Total Revenues of \$16,809,815, Total Appropriations, including any Accumulated Deficit, if any, of \$17,259,815 and Total Unrestricted Net Position utilized of \$450,000; and

WHEREAS, the Capital Budget as presented for adoption reflects Total Capital Appropriations of \$21,228,000 and Total Unrestricted Net Position planned to be utilized of \$1,733,000; and

NOW, THEREFORE BE IT RESOLVED, by the governing body of Stony Brook Regional Sewerage Authority, at an open public meeting held on September 27, 2021 that the Annual Budget and Capital Budget/Program of the Authority for the fiscal year beginning December 1, 2021 and ending November 30, 2022 is hereby adopted and shall constitute appropriations for the purposes stated; and

BE IT FURTHER RESOLVED, that the Annual Budget and Capital Budget/Program as presented for adoption reflects each item of revenue and appropriation in the same amount and title as set forth in the introduced and approved budget, including all amendments thereto, if any, which have been approved by the Director of the Division of Local Government Services.



Antonia Shurott-Pchola, P.E., Secretary

9/29/2021
(Date)

Governing Body Member:	Aye	Recorded Vote		
		Nay	Abstain	Absent
Gale D. Downey	X			
David A. Goldfarb	X			
C. Schuyler Morehouse	X			
Bharat Patel	X			
Pamela Switlik	X			
Miquel Vilaro-Munet	X			

2022 AUTHORITY BUDGET

Narrative and Information Section

2022 AUTHORITY BUDGET MESSAGE & ANALYSIS

Stony Brook Regional Sewerage Authority AUTHORITY BUDGET

FISCAL YEAR: 2022 FROM: December 1, 2021 TO: November 30, 2022

Answer all questions below. Attach additional pages and schedules as needed.

1. Complete a brief statement on the 2021/2021-2022 proposed Annual Budget and make comparison to the 2020/2020-2021 adopted budget for each Revenues and Appropriations. Explain any variances over +/-10% (As shown on budget pages F-2 and F-4 explain the reason for changes for each revenue and appropriation changing more than 10%) for each individual revenue and appropriation line item. Explanations of variances should include a description of the reason for the increase/decrease in the budgeted line item, not just an indication of the **amount** and percent of the change. **Attach** any supporting documentation that will help to explain the reason for the increase/decrease in the budgeted line item.

SEE SUPPLEMENT ATTACHED.

2. Describe the state of the local/regional economy and how it may impact the proposed Annual Budget, including the planned Capital Budget/Program.

THE COST OF CHEMICALS ANTICIPATED TO INCREASE 15-20%. EMPLOYER PENSION CONTRIBUTION INCREASED MORE THAN 20%.

3. Describe the reasons for utilizing Unrestricted Net Position in the proposed Annual Budget and or Capital Budget, i.e. rate stabilization, debt service reduction, to balance the budget, etc. If the Authority's budget anticipates a use of Unrestricted Net Position, this question must be answered.

TO IMPROVE PLANT WITHOUT INCURRING NEW DEBT OR INCREASING DEBT LIABILITY.

4. Identify any sources of funds transferred to the County/Municipality as a budget subsidy or a shared service payments, pilot payments, or other types of contracts or agreements (Example to provide police services to the Authority etc. and explain the reason for the transfer (i.e.: to **balance** the County/Municipality budget, etc.).

NOT APPLICABLE.

5. The proposed budget must not reflect an anticipated deficit from 2021/2021-2022 operations. If there exists an accumulated deficit from prior years' budgets (and funding is included in the **proposed** budget as a result of a prior deficit) explain the funding plan to eliminate said deficit (N.J.S.A. 40A:5A-12). If the Authority has a net deficit reported in its most recent audit, it must provide a deficit reduction plan in response to this question.

NOT APPLICABLE.

(Prepare a response to deficits in most recent audit report pertaining to Deficits to Unrestricted Net Position caused by recording Pension and Post-Employment Benefits liabilities as required by GASB 68 and GASB 75) and similar types of deficits in the audit report. How would these deficits be funded?

6. Attach a schedule of the Authority's existing rate structure (connection fees, parking fees, service charges, etc.) if it has been changed since the prior year budget submission and a schedule of the proposed rate structure for the upcoming fiscal year. Explain any proposed changes in the rate structure and attach the resolution approving the change in the rate structure, if applicable. (If no changes to fees or rates indicate

RATES REMAIN SAME. ALSO SEE SUPPLEMENT ATTACHED.

Stony Brook Regional Sewerage Authority

Supplement

Page N-1, Question 1

Revenue:

- Special Waste- one of the companies that brought in special waster closed down
- Miscellaneous- insurance dividend expected to increase with other miscellaneous
- Interest- rates are at all-time low

Appropriation:

- Administration- switching to new accounting system
- Laboratory- contingency for pending fines from US EPA for air pollution
- Safety- increase in cost for personal protection equipment (PPE)
- Other Reserves- capital contribution decreased due to other appropriation increase

Page N-1, Question 6

Participant charges are calculated using the total appropriation less other revenue.

Increase in appropriation generally increases participant charges each year.

SBRSA service contract calculates the participating municipalities proportionate share based on the average five years of flows. Participants are billed quarterly.

**2021 RATE SCHEDULE
NOTICE OF PUBLIC HEARING**

Notice is hereby given that a public hearing will be held by the **STONY BROOK REGIONAL SEWERAGE AUTHORITY** on Monday, December 14, 2020 at 7:00 PM in the **Conference Room, Operations Building, 290 River Road, Princeton, New Jersey**

I.	Liquid Sludge													
	Percent Solids	ANNUAL COMMITTED VOLUME PER SOURCE:			Uncommitted Contract									
		Over 1 Million	0.5 - 0.99 Million	Up to 0.5 Million										
	0.0% - 5.5%	\$ 54	\$ 62	\$72	\$ 82									
5.5% - 7.0%	\$ 72	\$ 82	\$ 87	\$102										
Notes: 1) All cost quotes are in dollars per 1000 gallons. 2) Effective Date and Terms of Revised Schedule: These rates are in effect for calendar year 2021. See below additional discounts on longer-term contracts. 3) Discounted Items: Deduct \$2/1000 gallons for all quantities delivered in excess of 2.5 million gallons during 2021. 4) Sludge which has volatile solids between 40 and 49.9% will be surcharged \$5/1000 gallons. Sludge below 40% volatile solids is not accepted. 5) Pursuant to N.J.S.A. 40:14A-23, contracts between public entities may be negotiated.														
II.	Sludge Cake a. \$62/Cubic Yard (14 - 22% Solids) b. \$60/Cubic Yard (22.1 - 30% Solids) c. \$2/Cubic Yard discount for Saturday/Sunday deliveries Note: Pursuant to N.J.S.A. 40:14A-23, contracts between public entities may be negotiated.													
III.	Septage <table border="1" style="margin-left: auto; margin-right: auto;"> <thead> <tr> <th style="text-align: center;">Gallons</th> <th style="text-align: center;">All gallons Billed at</th> </tr> </thead> <tbody> <tr> <td style="text-align: center;">0 - 200,000</td> <td style="text-align: center;">\$62</td> </tr> <tr> <td style="text-align: center;">200,000 - 400,000</td> <td style="text-align: center;">61</td> </tr> <tr> <td style="text-align: center;">400,000 - 800,000</td> <td style="text-align: center;">59</td> </tr> <tr> <td style="text-align: center;">800,000 - 1,200,000</td> <td style="text-align: center;">57</td> </tr> </tbody> </table> Note: All cost quotes are in dollars per 1,000 gallons. Pursuant to N.J.S.A. 40:14A-23, contracts between public entities may be negotiated.				Gallons	All gallons Billed at	0 - 200,000	\$62	200,000 - 400,000	61	400,000 - 800,000	59	800,000 - 1,200,000	57
Gallons	All gallons Billed at													
0 - 200,000	\$62													
200,000 - 400,000	61													
400,000 - 800,000	59													
800,000 - 1,200,000	57													
IV.	Gray Water \$32 Note: All cost quotes are in dollars per 1,000 gallons.													
V.	Special Waste (Industrial Users) a. Flow Charge is \$0.9/1000 gallons b. Site Remediation flow charge from landfill or groundwater remediation projects is \$1.80/1000 gallons													
VI.	High Strength User Surcharges: a. Biochemical Oxygen Demand (over 300 mg/l) - Suspended b. Total Suspended Solids (over 300 mg/l) - Suspended c. Ammonia Nitrogen (over 40 mg/l) - Suspended													

Further information regarding this hearing may be obtained by contacting the **Stony Brook Regional Sewerage Authority office (609) 924-8881.**

Antonia Pehola
Secretary

AUTHORITY CONTACT INFORMATION 2022

Please complete the following information regarding this Authority. All information requested below must be completed.

Name of Authority:	Stony Brook Regional Sewerage Authority		
Federal ID Number:	22-2069391		
Address:	290 River Road		
City, State, Zip:	Princeton	NJ	08540
Phone: (ext.)	609-924-8881	Fax:	609-924-2857

Preparer's Name:	William Chong		
Preparer's Address:	290 River Road		
City, State, Zip:	Princeton	NJ	08540
Phone: (ext.)	609-924-8881	Fax:	609-924-2857
E-mail:	wchong@sbrsa.org		

Chief Executive Officer (1)	Antonia Pchola		
(1) Or person who performs these functions under another Title			
Phone: (ext.)	609-924-8881	Fax:	609-924-2857
E-mail:	apchola@sbrsa.org		

Chief Financial Officer (1)	William Chong		
(1) Or person who performs these functions under another Title			
Phone: (ext.)	609-924-8881	Fax:	609-924-2857
E-mail:	wchong@sbrsa.org		

Name of Auditor:	Robert Butvilla		
Name of Firm:	Suplee Clooney & Company		
Address:	308 East Broad Street		
City, State, Zip:	Westfield	NJ	07090
Phone: (ext.)	908-789-9300	Fax:	908-789-8535
E-mail:	rbutvilla@scenco.com		

AUTHORITY INFORMATIONAL QUESTIONNAIRE

Stony Brook Regional Sewerage Authority

FISCAL YEAR: 2022 FROM: December 1, 2021 TO: November 30, 2022

Answer all questions below completely and attach additional information as required.

- 1) Provide the number of individuals employed in (Use Most Recent W-3 Available 2020) as reported on the Authority's Form W-3, Transmittal of Wage and Tax Statements: **51**
- 2) Provide the amount of total salaries and wages as reported on the Authority's Form W-3, (Use Most Recent W-3 Available 2020) Transmittal of Wage and Tax Statements: **\$4,116,887**
- 3) Provide the number of regular voting members of the governing body: **6** (Even if not all commissioners have been appointed (Total Commissioners are either 5 or 7 (*Regional Authorities* may have more than 7 members) s per statute for your Authority)
- 4) Provide the number of alternate voting members of the governing body: **0** (Maximum is 2)
- 5) Did any person listed on Page N-4 have a family or business relationship with any other person listed on Page N-4 during the current fiscal year? **NO** *If "yes," attach a description of the relationship including the names of the **individuals** involved and their positions at the Authority.*
- 6) Did all individuals that were required to file a Financial Disclosure Statement for the current fiscal year (Most Recent Filing that March 31, 2020 or 2021 deadline has passed 2020 or 2021) **because** of their **relationship** with the Authority file the form as required? (Checked to see if individuals actually filed at <http://www.state.nj.us/dca/divisions/dlgs/resources/fds.html> before answering) **YES** *If "no," provide a list of those individuals who failed to file a Financial Disclosure Statement and an explanation as to the reason for their failure to file.*
- 7) Does the Authority have any amounts receivable from current or former commissioners, officers, key employees or highest compensated employees? **NO** *If "yes," attach a list of those individuals, their position, the amount receivable, and a description of the amount due to the Authority.*
- 8) Was the Authority a party to a business transaction with one of the following parties:
 - a. A current or former commissioner, officer, key employee, or highest compensated employee? **NO**
 - b. A family member of a current or former commissioner, officer, key employee, or highest compensated employee? **NO**
 - c. An entity of which a current or former commissioner, officer, key employee, or highest **compensated** employee (or family member thereof) was an officer or direct or indirect owner? **NO***If the answer to any of the above is "yes," attach a description of the transaction including the name of the commissioner, officer, key employee, or highest compensated employee (or family member thereof) of the Authority; the name of the entity and relationship to the individual or family member; the amount paid; and whether the transaction was subject to a competitive bid process.*
- 9) Did the Authority during the most recent fiscal year pay premiums, directly or indirectly, on a personal benefit contract? A **personal** benefit contract is generally any life insurance, annuity, or endowment contract that benefits, directly or indirectly, the transferor, a member of the transferor's family, or any other person designated by the transferor. **NO** *If "yes," attach a description of the arrangement, the premiums paid, and indicate the beneficiary of the contract.*
- 10) Explain the Authority's process for determining compensation for all persons listed on Page N-4. Include whether the Authority's process includes any of the following: 1) review and approval by the commissioners or a committee thereof; 2) study or survey of compensation data for comparable positions in similarly sized entities; 3) annual or periodic **performance** evaluation; 4) **independent** compensation consultant; and/or 5) written employment contract. *Attach a narrative of your Authorities procedures for all individuals listed on Page N-4 (2 of 2). **SEE SUPPLEMENT***

- 11) Did the Authority pay for meals or catering during the current fiscal year? **YES** If "yes," attach a detailed list of all meals and/or **catering** invoices for the current fiscal year and provide an explanation for each expenditure listed.
- 12) Did the Authority pay for travel expenses for any employee or individual listed on Page N-4? **NO** If "yes," attach a detailed list of all travel expenses for the current fiscal year and provide an explanation for each expenditure listed.
- 13) Did the Authority provide any of the following to or for a person listed on Page N-4 or any other employee of the Authority?
- First class or charter travel **NO**
 - Travel for companions **NO**
 - Tax indemnification and gross-up payments **NO**
 - Discretionary spending account **NO**
 - Housing allowance or residence for personal use **NO**
 - Payments for business use of personal residence **NO**
 - Vehicle/auto allowance or vehicle for personal use **NO**
 - Health or social club dues or initiation fees **NO**
 - Personal services (i.e.: maid, chauffeur, chef) **NO**
- If the answer to any of the above is "yes," attach a description of the **transaction** including the name and position of the individual and the amount expended.
- 14) Did the Authority follow a written policy regarding payment or reimbursement for expenses incurred by employees and/or commissioners during the course of Authority business and does that policy require substantiation of expenses through receipts or invoices prior to reimbursement? **YES** If "no," attach an explanation of the Authority's process for reimbursing employees and commissioners for expenses. (If your authority does not allow for reimbursements indicate that in answer)
- 15) Did the Authority make any payments to current or former commissioners or employees for severance or termination? **NO** If "yes," attach explanation including amount paid.
- 16) Did the Authority make any payments to current or former commissioners or employees that were contingent upon the performance of the Authority or that were considered discretionary bonuses? **NO** If "yes," attach explanation including amount paid.
- 17) Did the Authority comply with its Continuing Disclosure Agreements for all debt issuances outstanding by submitting its audited annual financial statements, annual operating data, and notice of material events to the Municipal Securities Rulemaking Board's Electronic Municipal Marketplace Access (EMMA) as required? **YES** If "no," attach a description of the Authority's plan to ensure compliance with its Continuing Disclosure Agreements in the future. (If no bonded Debt answer is Not **Applicable**). (New Jersey Infrastructure Trust Loans are not bonded debt of an Authority)
- 18) Did the Authority receive any notices from the Department of Environmental Protection or any other entity regarding **maintenance** or repairs required to the Authority's systems to bring them into compliance with current regulations and standards that it has not yet taken action to remediate? **NO** If "yes," attach explanation as to why the Authority has not yet undertaken the required **maintenance** or repairs and describe the Authority's plan to address the conditions identified.
- 19) Did the Authority receive any notices of fines or assessments from the Department of Environmental Protection or any other entity due to noncompliance with current regulations (i.e.: sewer overflow, etc.)? **YES** If "yes," attach a description of the event or condition that resulted in the fine or assessment and indicate the **amount** of the fine or assessment.
- US Environmental Protection Agency (EPA) sent a Notice of Violation (NOV) regarding the incinerator operation on Aug. 27, 2018. It then sent a draft term sheet related to the NOV on Jul. 1, 2020 to propose a fine of \$432,311.00. SBRSA and EPA in an ongoing discussion, exchanging information and negotiating the proposed fine amount.**

Stony Brook Regional Sewerage Authority

Supplement

Page N-3, Question 10

All Board members, including Chairman, Vice Chairman and Treasurer do not receive any compensation for their services.

Annual salary increases for management employees (non-union), except for the Executive Director, are proposed by the Executive Director to the Board. The Executive Director's salary increase is set by the Board.

Union employee salary increases are set by the collective bargaining agreement,

Approval of all salary increases is at the Board's discretion. Factors considered in annual salary increases are:

- Union contract percentage increases
- Accomplishments or performance of the Authority
- Performance of individual employee
- Promotions when appropriate
- Salary adjustments to properly align management salaries

In most years, management employees received the same percentage increase as the union employees.

Page N-3, Question 11

<u>Vendor Name</u>	<u>Amount</u>	<u>Purpose</u>
Alfonso's Pizzeria	\$ 5.28	Board Meeting- Apr
Benamino's Cucina	59.25	Board Meeting- Jan, May
Genteel's Pizza	71.95	Board Meeting- Jun, Jul
Lan Ramen	26.00	Board Meeting- Mar
Mamoun's Falafel	13.06	Board Meeting- Apr
Tino's Artisan	37.00	Board Meeting- Feb

Board meetings are once a month, generally 7pm to 9am.

(This page is directions for filling in page (N-4 (2-of 2) (No answers should be entered on this page)

**AUTHORITY SCHEDULE OF COMMISSIONERS, OFFICERS, KEY EMPLOYEES,
HIGHEST COMPENSATED EMPLOYEES AND INDEPENDENT CONTRACTORS**

Stony Brook Regional Sewerage Authority

FISCAL YEAR: 2022 FROM: December 1, 2021 **TO:** November 30, 2022

Complete the attached table for all persons required to be listed per #1-4 below.

- 1) List all of the Authority's current commissioners and officers and amount of compensation from the Authority and any other public entities as defined below. Enter zero if no compensation was paid.
- 2) List all of the Authority's key employees and highest compensated employees other than a commissioner or officer as defined below and amount of compensation from the Authority and any other public entities.
- 3) List all of the Authority's former officers, key employees and highest compensated employees who received more than \$100,000 in reportable compensation from the Authority and any other public entities during the most recent fiscal year completed.
- 4) List all of the Authority's former commissioners who received more than \$10,000 in reportable compensation from the Authority and any other public entities during the most recent fiscal year completed.

Commissioner: A member of the governing body of the authority with voting rights. Include alternates for purposes of this schedule.

Officer: A person elected or appointed to manage the authority's daily operations at any time during the year, such as the chairperson, vice-chairperson, secretary, or treasurer. For the purposes of this schedule, treat the authority's top management official and top financial official as officers. A member of the governing body may be both a commissioner and an officer for the purposes of this schedule.

Key employee: An employee or independent contractor of the authority (other than a commissioner or officer) who meets both of the following criteria:

- a) The individual received reportable compensation from the authority and other public entities in excess of \$150,000 for the most recent fiscal year completed; and
- b) The individual has responsibilities or influence over the authority as a whole or has power to control or determine 10% or more of the authority's capital expenditures or operating budget.

Highest compensated employee: One of the five highest compensated employees or independent contractors of the authority other than current commissioners, officers, or key employees whose aggregate reportable compensation from the authority and other public entities is greater than \$100,000 for the most recent fiscal year completed.

Compensation: All forms of cash and non-cash payments or benefits provided in exchange for services, including salaries and wages, bonuses, severance payments, deferred payments, retirement benefits, fringe benefits, and other financial arrangements or transactions such as personal vehicles, meals, housing, personal and family education benefits, below-market loans, payment of personal or family travel, entertainment, and personal use of the Authority's property. Compensation includes payments and other benefits provided to both employees and independent contractors in exchange for services.

Reportable compensation: (Use the Most Recent W-2 available 2019 or 2020. The aggregate compensation that is reported (or is required to be reported) on Form W-2, box 1 or 5, whichever amount is greater, and/or Form 1099-MISC, box 7, for the most recent calendar year ended 60 days before the start of the proposed budget year. For example, for fiscal years ending December 31, 2021, the most recent W-2 and 1099 should be used 2020 or 2019 (60 days prior to start of budget year is November 1, 2020, with 2019 being the most recent calendar year ended), and for fiscal years ending June 30, 2021, the calendar year 2020 W-2 and 1099 should be used (60 days prior to start of budget year is May 1, 2021, with 2020 being the most recent calendar year ended).

Other Public Entity: Any municipality, county, local authority, fire district, or other government unit, regardless of whether it is related in any way to the Authority either by function or by physical location.

Authority Schedule of Commissioners, Officers, Key Employees, Highest Compensated Employees and Independent Contractors (Continued)

STONY BROOK REGIONAL SEWERAGE AUTHORITY

For the Period December 1, 2021 to November 30, 2022

A B C D E F G H I J K L M N O P Q R S T

Position (Can Check more than 1 Column for each person) Reportable Compensation from Authority (W-2/1099)

Name	Title	Average Hours per Week Dedicated to Position	Commissioner	Officer	Key Employee	Highest Compensated Employee	Former	Base Salary/ Stipend	Bonus	Other (auto allowance, expense account, payment in lieu of health benefits, etc.)	Estimated amount of other compensation from the Authority (health benefits, pension, etc.)	Total Compensation from Authority (W-2/1099)	Names of Other Public Entities where Individual is an Employee or Member of the Governing Body (1) See note below in Column O	Positions at Other Public Entities Listed in Column O	Average Hours per Week Dedicated to Other Public Entities Listed in Column O	Reportable Compensation from Other Public Entities (health benefits, pension, payment in	Total Compensation All Public Entities	
																		Y
1. Gale D. Downey	Chairman	Less than 1	Y					0					None				0	0
2. C Schuyler Moorehouse	Vice Chairman	Less than 1	Y					0					0 See N-4 Supplement				0	0
3. David A. Goldfarb	Treasurer	Less than 1	Y					0					0 See N-4 Supplement				0	0
4. Bharat Patel	Member	Less than 1	Y					0					0 None				0	0
5. Pamela Swthik	Member	Less than 1	Y					0					0 None				0	0
6. Miquel Vilaro-Munet	Member	Less than 1	Y					0					0 None				0	0
7. Antonia Pchola	Executive Director	Forty (40)	Y	Y				150,395			34,975		185,370 None				185,370	185,370
8. William Chong	Finance Director	Forty (40)	Y	Y				21,594		3,750	3,750		25,384 See N-4 Supplement				25,384	25,384
9. Jonathan Sears	Finance Director	Forty (40)			Y			92,472		35,654	35,654		126,126 See N-4 Supplement				126,126	126,126
10. Robert Kunert	Plant Manager	Forty (40)			Y			127,941		24,708	24,708		152,649 None				152,649	152,649
11. Christopher Doelling	Engineering Manager	Forty (40)						121,884		36,755	36,755		158,649 None				158,649	158,649
12								0			0		0				0	0
13								0			0		0				0	0
14								0			0		0				0	0
15								0			0		0				0	0
Total:											\$ 514,296	\$ 133,882	\$ 648,178		\$ 648,178		\$ 648,178	

(1) Insert "None" in this column for each individual that does not hold a position with another Public Entity

STONY BROOK REGIONAL SEWERAGE AUTHORITY
 2022 BUDGET FILING WITH DCA-DLGS

Supplement to Page N-4

Column P

Column O

Name	Title	Names of Other Public Entities where Individual is an Employee or Member of the Governing Body	Positions held at Other Public Entities Listed in Column O
C Schuyler Moorehouse	Vice Chairman	Hopewell Borough Council Hopewell Borough Library Board of Trustees Hopewell Borough Board of Fire Commissioners Princeton Sewer Operating Committee South Brunswick Zoning Board	Council President Member Chairman Chairman Member
William Chong	Finance Director (a)	NJ Utility Authorities Joint Insurance Fund	Member
Jonathan Sears	Finance Director (a)		

(a) J Sears 01/01-10/02/2020; W Chong 10/19-12/31/2020

Schedule of Health Benefits - Detailed Cost Analysis

STONY BROOK REGIONAL SEWERAGE AUTHORITY
 For the Period December 1, 2021 to November 30, 2022

If Not Applicable X this box Below

	# of Covered Members (Medical & Rx)		Annual Cost Estimate per Employee		Total Cost Estimate		# of Covered Members (Medical & Rx)		Annual Cost per Employee Current Year		Total Prior Year Year Cost		% Increase (Decrease)
	Proposed Budget	Proposed Budget	Proposed Budget	Proposed Budget	Proposed Budget	Proposed Budget	Current Year	Current Year	Year	Year	Year Cost	(Decrease)	
Active Employees - Health Benefits - Annual Cost													
Single Coverage	15	\$	13,001	\$	195,015	\$	15	\$	13,001	\$	195,015	\$	0.0%
Parent & Child	1		44,623		44,623		1		44,623		44,623		0.0%
Employee & Spouse (or Partner)	7		22,401		156,807		7		22,401		156,807		0.0%
Family	17		27,539		468,163		17		27,539		468,163		0.0%
Employee Cost Sharing Contribution (enter as negative -)					(212,428)						(212,428)		0.0%
Subtotal	40				652,180		40				652,180		0.0%
Commissioners - Health Benefits - Annual Cost													
Single Coverage					-						-		#DIV/0!
Parent & Child					-						-		#DIV/0!
Employee & Spouse (or Partner)					-						-		#DIV/0!
Family					-						-		#DIV/0!
Employee Cost Sharing Contribution (enter as negative -)					-						-		#DIV/0!
Subtotal	0				0		0				0		#DIV/0!
Retirees - Health Benefits - Annual Cost													
Single Coverage					-						-		#DIV/0!
Parent & Child					-						-		#DIV/0!
Employee & Spouse (or Partner)					-						-		#DIV/0!
Family					-						-		#DIV/0!
Employee Cost Sharing Contribution (enter as negative -)					-						-		#DIV/0!
Subtotal	0				0		0				0		#DIV/0!
GRAND TOTAL	40				\$ 652,180		40				\$ 652,180		0.0%

Is medical coverage provided by the SHBP (Yes or No)? (Place Answer in Box) Yes No
 Is prescription drug coverage provided by the SHBP (Yes or No)? (Place Answer in Box) Yes No

Note: Remember to Enter an amount in rows for Employee Cost Sharing

Schedule of Accumulated Liability for Compensated Absences

STONY BROOK REGIONAL SEWERAGE AUTHORITY

For the Period December 1, 2021 to November 30, 2022

Complete the below table for the Authority's accrued liability for compensated absences.

X Box if Authority has no Compensated Absences

Individuals Eligible for Benefit	Gross Days of Accumulated Compensated Absences at End of Last Issued Audit Report	Dollar Value of Accrued Compensated Absence Liability		Legal Basis for Benefit (check applicable items)		
		\$	X	Approved Labor Agreement	Resolution	Individual Employment Agreement
Accrued Sick Time (also see N-6 Supplement)	237.53	\$ 408,166	X			
Accrued Vacation (also see N-6 Supplement)	39.75	70,946	X			
Total liability for accumulated compensated absences at beginning of current year		\$ 479,112				

The total Amount Should agree to most recently issued audit report for the Authority

COMPENSATED ABSENCES BALANCE AS OF 11/30/2020

	Position ID	Dept Code	Policy Name	Balance	C/O Allowed	Hourly Rate	Liability	Accruals		
								Sick	Vacation	
1	X62000185	100	Alt Vacation Le	10.00	10.00	51.93	519.30		519.30	
	X62000185	100	Sick Leave Poli	8.00		51.93	415.44	415.44		
2	X62000166	100	Alt Vacation Le	107.00	107.00	36.47	3,901.89		3,901.89	
	X62000166	100	Sick Leave Poli	273.50		36.47	9,973.53	9,973.53		
3	X62000107	100	Alt Vacation Le	40.00	40.00	30.19	1,207.63		1,207.63	
	X62000107	100	Sick Leave Poli	341.50		30.19	10,310.12	10,310.12		
4	X62000181	100	Sick Leave Poli	35.00		15.00	525.00	525.00		
	X62000057	100	Alt Vacation Le	162.75	162.75	75.60	12,304.49		12,304.49	
5	X62000057	100	Sick Leave Poli	412.50		75.60	31,186.49	31,186.49		
	X62000178	100	Alt Vacation Le	78.50	78.50	29.54	2,319.15		2,319.15	
6	X62000178	100	Sick Leave Poli	137.50		29.54	4,062.20	4,062.20		
	TOTAL 1000 Dept							\$ 56,472.79	\$ 20,252.46	
7	X62000137	200	Sick Leave Poli	224.00		35.00	7,840.34	7,840.34		
	X62000137	200	Vacation Leave	44.25	44.25	35.00	1,548.82		1,548.82	
8	X62000083	200	Sick Leave Poli	518.25		37.76	19,495.67	19,495.67		
	X62000083	200	Vacation Leave	40.25	40.25	37.76	1,520.00		1,520.00	
9	X62000102	200	Sick Leave Poli	144.00		50.83	7,319.33	7,319.33		
	X62000102	200	Vacation Leave	96.00	96.00	50.83	4,879.56		4,879.56	
	TOTAL 2000 Dept							\$ 34,655.33	\$ 7,948.37	
10	X62000170	300	Sick Leave Poli	201.50		25.83	5,204.75	5,204.75		
	X62000170	300	Vacation Leave	39.00	39.00	25.83	1,007.37		1,007.37	
11	X62000149	300	Sick Leave Poli	584.00		33.80	19,740.08	19,740.08		
	X62000149	300	Vacation Leave	40.50	40.50	33.80	1,368.96		1,368.96	
12	X62000133	300	Sick Leave Poli	72.00		39.61	2,852.21	2,852.21		
	X62000133	300	Vacation Leave	37.00	37.00	39.61	1,465.72		1,465.72	
13	X62000101	300	Sick Leave Poli	96.00		33.15	3,182.54	3,182.54		
	X62000101	300	Vacation Leave	8.00	8.00	33.15	265.21		265.21	
14	X62000172	300	Sick Leave Poli	136.00		25.83	3,512.88	3,512.88		
	X62000172	300	Vacation Leave	16.00	16.00	25.83	413.28		413.28	
15	X62000143	300	Sick Leave Poli	149.00		33.45	4,984.27	4,984.27		
	X62000143	300	Vacation Leave	-	-	33.45	-		0.00	
16	X62000093	300	Sick Leave Poli	494.00		58.59	28,942.23	28,942.23		
	X62000093	300	Vacation Leave	40.00	40.00	58.59	2,343.50		2,343.50	
17	X62000138	300	Sick Leave Poli	81.00		34.20	2,770.32	2,770.32		
	X62000138	300	Vacation Leave	-	-	34.20	-		0.00	
18	X62000094	300	Sick Leave Poli	1.00		35.10	35.10	35.10		
	X62000094	300	Vacation Leave	-	-	35.10	-		0.00	
19	X62000147	300	Sick Leave Poli	4.50		29.65	133.41	133.41		
	X62000147	300	Vacation Leave	-	-	29.65	-		0.00	
20	X62000074	300	Sick Leave Poli	600.00		60.39	36,236.64	36,236.64		
	X62000074	300	Vacation Leave	68.00	68.00	60.39	4,106.82		4,106.82	
21	X62000136	300	Sick Leave Poli	27.00		34.00	918.04	918.04		
	X62000136	300	Vacation Leave	36.00	36.00	34.00	1,224.05		1,224.05	
22	X62000124	300	Sick Leave Poli	248.00		37.66	9,340.67	9,340.67		
	X62000124	300	Vacation Leave	8.00	8.00	37.66	301.31		301.31	
23	X62000171	300	Sick Leave Poli	120.00		25.83	3,099.60	3,099.60		
	X62000171	300	Vacation Leave	40.00	40.00	25.83	1,033.20		1,033.20	
24	X62000184	300	Sick Leave Poli	40.00		21.67	866.74	866.74		
	X62000184	300	Vacation Leave	33.34	33.34	21.67	722.43		722.43	
25	X62000104	300	Sick Leave Poli	141.50		30.05	4,251.48	4,251.48		
	X62000104	300	Vacation Leave	2.75	2.75	30.05	82.63		82.63	
26	X62000164	300	Sick Leave Poli	20.00		22.93	458.59	458.59		
	X62000164	300	Vacation Leave	58.50	40.00	22.93	1,341.36		917.17	
27	X62000155	300	Sick Leave Poli	520.00		32.75	17,030.00	17,030.00		
	X62000155	300	Vacation Leave	40.67	40.00	32.75	1,331.94		1,310.00	
28	X62000146	300	Sick Leave Poli	808.00		46.12	37,263.34	37,263.34		
	X62000146	300	Vacation Leave	37.00	37.00	46.12	1,706.37		1,706.37	
	TOTAL 3000 Dept							\$ 180,822.88	\$ 18,268.02	
29	X62000174	400	Alt Vacation Le	144.00	144.00	65.45	9,425.46		9,425.46	
	X62000174	400	Sick Leave Poli	208.00		65.45	13,614.56	13,614.56		
30	X62000113	400	Sick Leave Poli	136.00		37.85	5,147.67	5,147.67		
	X62000113	400	Vacation Leave	-	-	37.85	-		0.00	
31	X62000076	400	Sick Leave Poli	771.75		52.85	40,786.52	40,786.52		
	X62000076	400	Vacation Leave	40.00	40.00	52.85	2,113.98		2,113.98	
32	X62000182	400	Sick Leave Poli	160.50		36.10	5,794.13	5,794.13		
	X62000182	400	Vacation Leave	27.50	27.50	36.10	992.76		992.76	
33	X62000088	400	Sick Leave Poli	-		44.56	-	0.00		
	X62000088	400	Vacation Leave	-	-	44.56	-		0.00	
34	X62000175	400	Alt Vacation Le	113.00	113.00	54.55	6,163.62		6,163.62	
	X62000175	400	Sick Leave Poli	200.00		54.55	10,909.06	10,909.06		
35	X62000159	400	Alt Vacation Le	0.17	0.17	53.07	9.02		9.02	
	X62000159	400	Sick Leave Poli	216.25		53.07	11,475.93	11,475.93		
36	X62000070	400	Sick Leave Poli	247.00		39.71	9,809.36	9,809.36		
	X62000070	400	Vacation Leave	-	-	39.71	-		0.00	
	TOTAL 4000 Dept							\$ 97,537.23	\$ 18,704.84	

	Position ID	Dept Code	Policy Name	Balance	C/O Allowed	Hourly Rate	Liability	Sick	Vacation	
37	X62000142	500	Sick Leave Poli	32.00		35.50	1,136.12	1,136.12		
	X62000142	500	Vacation Leave	2.70	2.70	35.50	95.86		95.86	
38	X62000167	500	Sick Leave Poli	133.00		30.91	4,111.03	4,111.03		
	X62000167	500	Vacation Leave	40.00	40.00	30.91	1,236.40		1,236.40	
39	X62000075	500	Sick Leave Poli	28.25		35.70	1,008.63	1,008.63		
	X62000075	500	Vacation Leave	-	-	35.70	-		0.00	
40	X62000162	500	Sick Leave Poli	57.00		30.91	1,762.10	1,762.10		
	X62000162	500	Vacation Leave	21.17	21.17	30.91	654.45		654.45	
41	X62000168	500	Sick Leave Poli	93.50		30.91	2,890.46	2,890.46		
	X62000168	500	Vacation Leave	40.00	40.00	30.91	1,236.56		1,236.56	
42	X62000154	500	Sick Leave Poli	58.50		33.15	1,939.28	1,939.28		
	X62000154	500	Vacation Leave	1.50	1.50	33.15	49.73		49.73	
43	X62000165	500	Sick Leave Poli	192.00		29.35	5,634.39	5,634.39		
	X62000165	500	Vacation Leave	36.50	36.50	29.35	1,071.12		1,071.12	
44	X62000077	500	Sick Leave Poli	136.00		44.67	6,074.88	6,074.88		
	X62000077	500	Vacation Leave	-	-	44.67	-		0.00	
45	X62000114	500	Sick Leave Poli	395.50		35.70	14,120.85	14,120.85		
	X62000114	500	Vacation Leave	40.00	40.00	35.70	1,428.15		1,428.15	
TOTAL 5000 Dept								\$ 38,677.73	\$ 5,772.27	
Employee Count 45								\$ 479,558.06	\$ 408,165.97	\$ 70,945.96
							Total Hours	9,501.00	1,590.05	
							Total Days	237.53	39.75	

STONY BROOK REGIONAL SEWERAGE AUTHORITY

STATEMENTS OF NET POSITION
NOVEMBER 30, 2020 AND 2019

<u>LIABILITIES</u>	<u>2020</u>	<u>2019</u>
Current Liabilities Payable from Unrestricted Assets:		
Accounts Payable and Accrued Expenses	1,924,968	1,812,877
Total Current Liabilities Payable From Unrestricted Assets	<u>1,924,968</u>	<u>1,812,877</u>
Current Liabilities Payable from Restricted Assets:		
Unearned Principal Forgiveness	23,945	23,945
Interest Payable	210,513	214,377
Contractor Hauler Deposits	18,606	29,489
Current Portion of Long-term Debt	1,535,422	1,340,926
Total Current Liabilities Payable From Restricted Assets	<u>1,788,486</u>	<u>1,608,737</u>
Total Current Liabilities	<u>3,713,454</u>	<u>3,421,614</u>
Noncurrent Liabilities:		
Accrued Compensated Absences	479,112	401,536
Post-Retirement Health Benefits Payable	305,712	273,443
Total Long-Term Debt, Net of Current Maturities	18,238,968	20,239,457
Net Pension Liability	8,387,331	8,326,986
Total Noncurrent Liabilities	<u>27,411,123</u>	<u>29,241,422</u>
TOTAL LIABILITIES	<u>31,124,577</u>	<u>32,663,036</u>
<u>NET POSITION</u>		
Deferred Inflows of Resources:		
Pension Related	3,799,469	3,403,489
Total Deferred Inflows of Resources	<u>3,799,469</u>	<u>3,403,489</u>
Net Position:		
Invested in Capital Assets, net of Related Liabilities	33,668,511	31,828,552
Restricted	1,745,850	1,938,116
Unrestricted	1,834,660	1,090,283
TOTAL NET POSITION	<u>37,249,021</u>	<u>34,856,951</u>
TOTAL LIABILITIES, DEFERRED INFLOWS AND NET POSITION	<u>72,173,067</u>	<u>70,923,476</u>

The accompanying Notes to the Financial Statements are an integral part of this statement.

NOTES TO FINANCIAL STATEMENTS

NOVEMBER 30, 2020 AND 2019

(2) SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Principal Forgiveness

The Authority entered into several loan agreements under the New Jersey Environmental Infrastructure Trust Financing Program that entitle the Authority to grants in the form of principal forgiveness. The principal **forgiveness** is being recognized as revenue as funds are expended and reimbursed under this category. As of November 30, 2020 and 2019, \$23,945 and \$23,945 is remaining as unearned principal forgiveness on the Statements of Net Position, respectively.

Compensated Absences

The Authority provides compensated **absences** benefits to its employees. As of November 30, 2020 and 2019, the vested amounts were \$479,112 and \$451,108, respectively. These amounts are comprised of a long-term liability of \$408,166 and \$401,536, respectively, and short-term liability of \$70,946 and \$49,572, respectively, which are included in current liabilities as accounts payable and accrued expenses.

Income Taxes

No provision for income taxes has been made as the Authority is exempt from Federal and State income taxes.

Use of Estimates

The **process** of preparing financial statements in conformity with generally accepted accounting principles requires the use of **estimates** and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

Schedule of Shared Service Agreements

STONY BROOK REGIONAL SEWERAGE AUTHORITY

For the Period December 1, 2021 to November 30, 2022

If No Shared Services **X** this Box

Enter the shared service agreements that the Authority currently engages in and identify the amount that is received/paid for those services.

Name of Entity Providing Service	Name of Entity Receiving Service	Type of Shared Service Provided	Comments (Enter more specifics if needed)	Agreement Effective Date	Agreement End Date	Amount to be Received by/ Paid from Authority

2022 AUTHORITY BUDGET

Financial Schedules Section

SUMMARY

STONY BROOK REGIONAL SEWERAGE AUTHORITY
December 1, 2021 to November 30, 2022

For the Period

	FY 2022 Proposed Budget					Total All Operations	FY 2021 Adopted Budget	\$ Increase (Decrease) Proposed vs. Adopted	% Increase (Decrease) Proposed vs. Adopted
	Sewer	N/A	N/A	N/A	N/A				
REVENUES									
Total Operating Revenues	\$ 16,284,815	\$ -	\$ -	\$ -	\$ -	\$ 16,284,815	\$ 16,218,825	\$ 65,990	0.4%
Total Non-Operating Revenues	525,000	-	-	-	525,000	75,000	450,000	600.0%	
Total Anticipated Revenues	16,809,815	-	-	-	16,809,815	16,293,825	515,990	3.2%	
APPROPRIATIONS									
Total Administration	1,695,288	-	-	-	1,695,288	1,681,988	13,300	0.8%	
Total Cost of Providing Services	11,961,690	-	-	-	11,961,690	11,144,565	817,125	7.3%	
Total Principal Payments on Debt Service in Lieu of Depreciation	1,589,360	-	-	-	1,589,360	1,545,422	43,938	2.8%	
Total Operating Appropriations	15,246,338	-	-	-	15,246,338	14,371,975	874,363	6.1%	
Total Interest Payments on Debt	463,477	-	-	-	463,477	503,850	(40,373)	-8.0%	
Total Other Non-Operating Appropriations	1,550,000	-	-	-	1,550,000	1,418,000	132,000	9.3%	
Total Non-Operating Appropriations	2,013,477	-	-	-	2,013,477	1,921,850	91,627	4.8%	
Accumulated Deficit	-	-	-	-	-	-	-	#DIV/0!	
Total Appropriations and Accumulated Deficit	17,259,815	-	-	-	17,259,815	16,293,825	965,990	5.9%	
Less: Total Unrestricted Net Position Utilized	450,000	-	-	-	450,000	-	450,000	#DIV/0!	
Net Total Appropriations	16,809,815	-	-	-	16,809,815	16,293,825	515,990	3.2%	
ANTICIPATED SURPLUS (DEFICIT)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	#DIV/0!	

Revenue Schedule

STONY BROOK REGIONAL SEWERAGE AUTHORITY

For the Period December 1, 2021 to November 30, 2022

FY 2022 Proposed Budget

	FY 2022 Proposed Budget						Total All	FY 2021 Adopted	\$ Increase	% Increase
	Sewer	N/A	N/A	N/A	N/A	N/A	Operations	Operations	(Decrease)	(Decrease)
								Budget	Proposed vs.	Proposed vs.
								Total All	Adopted	Adopted
								Operations	All Operations	All Operations
OPERATING REVENUES										
<i>Service Charges</i>										
Residential							\$ -	\$ -	\$ -	#DIV/0!
Business/Commercial							-	-	-	#DIV/0!
Industrial							-	-	-	#DIV/0!
Intergovernmental	13,773,315						13,773,315	13,719,825	53,490	0.4%
Other							-	-	-	#DIV/0!
Total Service Charges	13,773,315	-	-	-	-	-	13,773,315	13,719,825	53,490	0.4%
<i>Connection Fees</i>										
Residential							-	-	-	#DIV/0!
Business/Commercial							-	-	-	#DIV/0!
Industrial							-	-	-	#DIV/0!
Intergovernmental							-	-	-	#DIV/0!
Other							-	-	-	#DIV/0!
Total Connection Fees							-	-	-	#DIV/0!
<i>Parking Fees</i>										
Meters							-	-	-	#DIV/0!
Permits							-	-	-	#DIV/0!
Fines/Penalties							-	-	-	#DIV/0!
Other							-	-	-	#DIV/0!
Total Parking Fees							-	-	-	#DIV/0!
<i>Other Operating Revenues (List)</i>										
Cake & Liquid Sludge	2,283,100						2,283,100	2,251,400	31,700	1.4%
Special Waste	32,400						32,400	50,000	(17,600)	-35.2%
Grey Water	16,000						16,000	17,600	(1,600)	-9.1%
Princeton Farms	180,000						180,000	180,000	-	0.0%
Type in (Grant, Other Rev)							-	-	-	#DIV/0!
Type in (Grant, Other Rev)							-	-	-	#DIV/0!
Type in (Grant, Other Rev)							-	-	-	#DIV/0!
Type in (Grant, Other Rev)							-	-	-	#DIV/0!
Type in (Grant, Other Rev)							-	-	-	#DIV/0!
Type in (Grant, Other Rev)							-	-	-	#DIV/0!
Type in (Grant, Other Rev)							-	-	-	#DIV/0!
Total Other Revenue	2,511,500	-	-	-	-	-	2,511,500	2,499,000	12,500	0.5%
Total Operating Revenues	16,284,815	-	-	-	-	-	16,284,815	16,218,825	65,990	0.4%
NON-OPERATING REVENUES										
<i>Other Non-Operating Revenues (List)</i>										
Miscellaneous	40,000						40,000	25,000	15,000	60.0%
Retained Earnings Appropriation	450,000						450,000	-	450,000	#DIV/0!
Type in							-	-	-	#DIV/0!
Type in							-	-	-	#DIV/0!
Type in							-	-	-	#DIV/0!
Type in							-	-	-	#DIV/0!
Total Other Non-Operating Revenue	490,000	-	-	-	-	-	490,000	25,000	465,000	1860.0%
<i>Interest on Investments & Deposits (List)</i>										
Interest Earned	35,000						35,000	50,000	(15,000)	-30.0%
Penalties							-	-	-	#DIV/0!
Other							-	-	-	#DIV/0!
Total Interest	35,000	-	-	-	-	-	35,000	50,000	(15,000)	-30.0%
Total Non-Operating Revenues	525,000	-	-	-	-	-	525,000	75,000	450,000	600.0%
TOTAL ANTICIPATED REVENUES	\$ 16,809,815	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 16,809,815	\$ 16,293,825	\$ 515,990	3.2%

Prior Year Adopted Revenue Schedule

STONY BROOK REGIONAL SEWERAGE AUTHORITY

		<i>FY 2021 Adopted Budget</i>					Total All
		Sewer	N/A	N/A	N/A	N/A	Operations
OPERATING REVENUES							
<i>Service Charges</i>							
Residential							\$ -
Business/Commercial							-
Industrial							-
Intergovernmental	13,719,825						13,719,825
Other							-
Total Service Charges	13,719,825	-	-	-	-	-	13,719,825
<i>Connection Fees</i>							
Residential							-
Business/Commercial							-
Industrial							-
Intergovernmental							-
Other							-
Total Connection Fees		-	-	-	-	-	-
<i>Parking Fees</i>							
Meters							-
Permits							-
Fines/Penalties							-
Other							-
Total Parking Fees		-	-	-	-	-	-
<i>Other Operating Revenues (List)</i>							
Cake & Liquid Sludge	2,251,400						2,251,400
Special Waste	50,000						50,000
Grey Water	17,600						17,600
Princeton Farms	180,000						180,000
Type in (Grant, Other Rev)							-
Type in (Grant, Other Rev)							-
Type in (Grant, Other Rev)							-
Type in (Grant, Other Rev)							-
Type in (Grant, Other Rev)							-
Type in (Grant, Other Rev)							-
Type in (Grant, Other Rev)							-
Total Other Revenue	2,499,000	-	-	-	-	-	2,499,000
Total Operating Revenues	16,218,825	-	-	-	-	-	16,218,825
NON-OPERATING REVENUES							
<i>Other Non-Operating Revenues (List)</i>							
Type in							-
Type in							-
Type in							-
Type in							-
Type in							-
Type in							-
Total Non-Operating Revenues		-	-	-	-	-	-
<i>Interest on Investments & Deposits</i>							
Interest Earned	50,000						50,000
Penalties							-
Other	25,000						25,000
Total Interest	75,000	-	-	-	-	-	75,000
Total Non-Operating Revenues	75,000	-	-	-	-	-	75,000
TOTAL ANTICIPATED REVENUES	\$ 16,293,825	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 16,293,825

Appropriations Schedule

STONY BROOK REGIONAL SEWERAGE AUTHORITY

For the Period December 1, 2021 to November 30, 2022

	FY 2022 Proposed Budget						FY 2021 Adopted Budget	\$ Increase (Decrease) Proposed vs. Adopted	% Increase (Decrease) Proposed vs. Adopted	
	Sewer	N/A	N/A	N/A	N/A	N/A	Total All Operations	Total All Operations	All Operations	
							Total All Operations	All Operations	All Operations	
OPERATING APPROPRIATIONS										
<i>Administration - Personnel</i>										
Salary & Wages	\$ 527,303						\$ 527,303	\$ 524,556	\$ 2,747	0.5%
Fringe Benefits	200,335						200,335	202,457	(2,122)	-1.0%
Total Administration - Personnel	727,638	-	-	-	-	-	727,638	727,013	625	0.1%
<i>Administration - Other (List)</i>										
Insurance	342,500						342,500	340,000	2,500	0.7%
Professional Service	469,771						469,771	496,106	(26,335)	-5.3%
Office/Other	155,379						155,379	118,869	36,510	30.7%
Miscellaneous Administration*							-	-	-	#DIV/0!
Total Administration - Other	967,650	-	-	-	-	-	967,650	954,975	12,675	1.3%
Total Administration	1,695,288	-	-	-	-	-	1,695,288	1,681,988	13,300	0.8%
<i>Cost of Providing Services - Personnel</i>										
Salary & Wages	3,844,642						3,844,642	3,685,710	158,932	4.3%
Fringe Benefits	1,437,542						1,437,542	1,409,845	27,697	2.0%
Total COPS - Personnel	5,282,184	-	-	-	-	-	5,282,184	5,095,555	186,629	3.7%
<i>Cost of Providing Services - Other (List)</i>										
Operations and Maintenance	5,465,656						5,465,656	5,301,269	164,387	3.1%
Laboratory/Regulatory	604,028						604,028	163,979	440,049	268.4%
Engineering	471,655						471,655	458,529	13,126	2.9%
Safety	138,167						138,167	125,233	12,934	10.3%
Miscellaneous COPS*							-	-	-	#DIV/0!
Total COPS - Other	6,679,506	-	-	-	-	-	6,679,506	6,049,010	630,496	10.4%
Total Cost of Providing Services	11,961,690	-	-	-	-	-	11,961,690	11,144,565	817,125	7.3%
Total Principal Payments on Debt Service in Lieu of Depreciation	1,589,360	-	-	-	-	-	1,589,360	1,545,422	43,938	2.8%
Total Operating Appropriations	15,246,338	-	-	-	-	-	15,246,338	14,371,975	874,363	6.1%
NON-OPERATING APPROPRIATIONS										
Total Interest Payments on Debt	463,477	-	-	-	-	-	463,477	503,850	(40,373)	-8.0%
Operations & Maintenance Reserve							-	-	-	#DIV/0!
Renewal & Replacement Reserve							-	-	-	#DIV/0!
Municipality/County Appropriation	450,000						450,000	-	450,000	#DIV/0!
Other Reserves	1,100,000						1,100,000	1,418,000	(318,000)	-22.4%
Total Non-Operating Appropriations	2,013,477	-	-	-	-	-	2,013,477	1,921,850	91,627	4.8%
TOTAL APPROPRIATIONS	17,259,815	-	-	-	-	-	17,259,815	16,293,825	965,990	5.9%
ACCUMULATED DEFICIT							-	-	-	#DIV/0!
TOTAL APPROPRIATIONS & ACCUMULATED DEFICIT	17,259,815	-	-	-	-	-	17,259,815	16,293,825	965,990	5.9%
UNRESTRICTED NET POSITION UTILIZED										
Municipality/County Appropriation	450,000						450,000	-	450,000	#DIV/0!
Other							-	-	-	#DIV/0!
Total Unrestricted Net Position Utilized	450,000	-	-	-	-	-	450,000	-	450,000	#DIV/0!
TOTAL NET APPROPRIATIONS	\$ 16,809,815	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 16,809,815	\$ 16,293,825	\$ 515,990	3.2%

* Miscellaneous line items may not exceed 5% of total operating appropriations shown below. If amount in miscellaneous is greater than the amount shown below, then the line item must be itemized above.

5% of Total Operating Appropriations \$ 762,316.90 \$ - \$ - \$ - \$ - \$ - \$ - \$ 762,316.90

Prior Year Adopted Appropriations Schedule

STONY BROOK REGIONAL SEWERAGE AUTHORITY

	<i>FY 2021 Adopted Budget</i>						Total All Operations
	Sewer	N/A	N/A	N/A	N/A	N/A	
OPERATING APPROPRIATIONS							
<i>Administration - Personnel</i>							
Salary & Wages	\$ 524,556						\$ 524,556
Fringe Benefits	202,457						202,457
Total Administration - Personnel	727,013	-	-	-	-	-	727,013
<i>Administration - Other (List)</i>							
Insurance	340,000						340,000
Professional Service	496,106						496,106
Office/Other	118,869						118,869
Miscellaneous Administration*							-
Total Administration - Other	954,975	-	-	-	-	-	954,975
Total Administration	1,681,988	-	-	-	-	-	1,681,988
<i>Cost of Providing Services - Personnel</i>							
Salary & Wages	3,685,710						3,685,710
Fringe Benefits	1,409,845						1,409,845
Total COPS - Personnel	5,095,555	-	-	-	-	-	5,095,555
<i>Cost of Providing Services - Other (List)</i>							
Operations and Maintenance	5,301,269						5,301,269
Laboratory/Regulatory	163,979						163,979
Engineering	458,529						458,529
Safety	125,233						125,233
Miscellaneous COPS*							-
Total COPS - Other	6,049,010	-	-	-	-	-	6,049,010
Total Cost of Providing Services	11,144,565	-	-	-	-	-	11,144,565
Total Principal Payments on Debt Service in Lieu of Depreciation	1,545,422	-	-	-	-	-	1,545,422
Total Operating Appropriations	14,371,975	-	-	-	-	-	14,371,975
NON-OPERATING APPROPRIATIONS							
Total Interest Payments on Debt	503,850	-	-	-	-	-	503,850
Operations & Maintenance Reserve							-
Renewal & Replacement Reserve							-
Municipality/County Appropriation							-
Other Reserves	1,418,000						1,418,000
Total Non-Operating Appropriations	1,921,850	-	-	-	-	-	1,921,850
TOTAL APPROPRIATIONS	16,293,825	-	-	-	-	-	16,293,825
ACCUMULATED DEFICIT							-
TOTAL APPROPRIATIONS & ACCUMULATED DEFICIT	16,293,825	-	-	-	-	-	16,293,825
UNRESTRICTED NET POSITION UTILIZED							
Municipality/County Appropriation	-	-	-	-	-	-	-
Other							-
Total Unrestricted Net Position Utilized	-	-	-	-	-	-	-
TOTAL NET APPROPRIATIONS	\$ 16,293,825	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 16,293,825

* Miscellaneous line items may not exceed 5% of total operating appropriations shown below. If amount in miscellaneous is greater than the amount shown below, then the line item must be itemized above.

5% of Total Operating Appropriations \$ 718,598.75 \$ - \$ - \$ - \$ - \$ - \$ - \$ 718,598.75

Debt Service Schedule - Principal

STONY BROOK REGIONAL SEWERAGE AUTHORITY

If Authority has no debt X this box

	<i>Fiscal Year Ending in</i>							Total Principal Outstanding	
	Adopted Budget Year 2021	Proposed Budget Year 2022	2023	2024	2025	2026	2027		Thereafter
<i>Sewer</i>									
2012 Revenue Refunding Bonds	\$ 325,000	\$ 340,000	\$ 355,000	\$ 370,000	\$ 385,000	\$ 400,000	\$ 415,000	\$ 2,880,000	\$ 5,145,000
NJEIT/DEP Loans	1,220,422	1,249,360	1,286,986	1,315,191	1,335,688	1,150,829	1,046,181	4,405,925	11,788,160
Type in Issue Name									
Type in Issue Name									
Total Principal	1,545,422	1,589,360	1,641,986	1,685,191	1,720,688	1,550,829	1,461,181	7,283,925	16,933,160
N/A									
Type in Issue Name									
Type in Issue Name									
Type in Issue Name									
Type in Issue Name									
Total Principal									
N/A									
Type in Issue Name									
Type in Issue Name									
Type in Issue Name									
Type in Issue Name									
Total Principal									
N/A									
Type in Issue Name									
Type in Issue Name									
Type in Issue Name									
Type in Issue Name									
Total Principal									
N/A									
Type in Issue Name									
Type in Issue Name									
Type in Issue Name									
Type in Issue Name									
Total Principal									
TOTAL PRINCIPAL ALL OPERATIONS									
	\$ 1,545,422	\$ 1,589,360	\$ 1,641,986	\$ 1,685,191	\$ 1,720,688	\$ 1,550,829	\$ 1,461,181	\$ 7,283,925	\$ 16,933,160

Indicate the Authority's most recent bond rating and the year of the rating by ratings service.

Moody's	Fitch
	Standard & Poors

Bond Rating
Year of Last Rating

STONY BROOK REGIONAL SEWERAGE AUTHORITY

DEBT SERVICE PAYMENT SCHEDULE

LOAN DUE DATE	2020 NJEIT		2015 NJEIT		2010 NJEIT		2009 NJEIT		2007 NJEIT		TOTAL		NJEIT LOANS		BOND		TOTAL	
	Principal		Principal		Principal		Principal		Principal		Principal		Principal		Principal		Principal	
02/01/22	\$ 45,832	\$	45,186	\$	71,144	\$	14,042	\$	17,062	\$	193,266	\$	1,249,360		12/01/22			
08/01/22	121,664		140,373		436,288		71,083		286,686		1,056,094							340,000
02/01/23	45,832		45,186		71,144		14,042		14,246		190,451							
08/01/23	126,664		140,373		454,288		76,083		299,127		1,096,536							355,000
02/01/24	45,832		45,186		71,144		14,042		11,720		187,924							
08/01/24	126,664		145,373		467,288		75,083		312,857		1,127,266							370,000
02/01/25	45,832		45,186		71,144		14,042		8,904		185,109							
08/01/25	126,664		145,373		485,288		75,083		318,170		1,150,579							385,000
02/01/26	45,832		45,186		13,793		14,042		6,018		124,872							
08/01/26	131,664		150,373		361,000		80,083		302,836		1,025,957							400,000
02/01/27	45,832		45,186		-		14,042		-		105,060							
08/01/27	131,664		150,373		378,000		80,083		201,000		941,121							415,000
02/01/28	45,832		45,186		-		14,042		-		105,060							
08/01/28	131,664		155,373		394,000		85,083		-		766,121							430,000
02/01/29	45,832		45,186		-		14,042		-		105,060							
08/01/29	136,664		155,373		128,000		85,083		-		505,121							450,000
02/01/30	45,832		45,186		-		-		-		91,019							
08/01/30	136,664		160,373		-		-		-		297,037							470,000
02/01/31	45,832		45,186		-		-		-		91,019							
08/01/31	141,664		160,373		-		-		-		302,037							490,000
02/01/32	45,832		45,186		-		-		-		91,019							
08/01/32	141,664		165,373		-		-		-		307,037							510,000
02/01/33	45,832		45,186		-		-		-		91,019							
08/01/33	141,664		165,373		-		-		-		307,037							530,000
02/01/34	45,832		45,186		-		-		-		91,019							
08/01/34	141,664		145,173		-		-		-		286,838							
02/01/35	45,832		-		-		-		-		45,832							
08/01/35	146,664		-		-		-		-		146,664							
02/01/36	45,832		-		-		-		-		45,832							
08/01/36	146,664		-		-		-		-		146,664							
02/01/37	45,832		-		-		-		-		45,832							
08/01/37	146,664		-		-		-		-		146,664							
02/01/38	45,832		-		-		-		-		45,832							
08/01/38	146,664		-		-		-		-		146,664							
02/01/39	45,832		-		-		-		-		45,832							
08/01/39	151,664		-		-		-		-		151,664							
TOTAL	\$ 3,299,940	\$	\$ 2,567,072	\$	\$ 3,402,522	\$	\$ 740,000	\$	\$ 1,778,627	\$	\$ 11,788,160	\$	\$ 11,788,160	\$	\$ 5,145,000	\$	\$	\$ 5,145,000

Debt Service Schedule - Interest

STONY BROOK REGIONAL SEWERAGE AUTHORITY

If Authority has no debt X this box

	<i>Fiscal Year Ending in</i>							Total Interest Payments Outstanding
	Proposed Budget Year 2022	2023	2024	2025	2026	2027	Thereafter	
Sewer								
2012 Revenue Refunding Bonds	\$ 218,800	\$ 192,200	\$ 178,000	\$ 163,200	\$ 147,800	\$ 131,800	\$ 417,200	\$ 1,436,000
NJEIT/DEP Loans	285,050	229,194	199,324	167,888	135,283	100,296	231,870	1,321,532
Type in Issue Name								
Type in Issue Name								
Total Interest Payments	503,850	421,394	377,324	331,088	283,083	232,096	649,070	2,757,532
N/A								
Type in Issue Name								
Type in Issue Name								
Type in Issue Name								
Type in Issue Name								
Total Interest Payments								
N/A								
Type in Issue Name								
Type in Issue Name								
Type in Issue Name								
Type in Issue Name								
Total Interest Payments								
N/A								
Type in Issue Name								
Type in Issue Name								
Type in Issue Name								
Type in Issue Name								
Total Interest Payments								
N/A								
Type in Issue Name								
Type in Issue Name								
Type in Issue Name								
Type in Issue Name								
Total Interest Payments	\$ 463,477	\$ 421,394	\$ 377,324	\$ 331,088	\$ 283,083	\$ 232,096	\$ 649,070	\$ 2,757,532
TOTAL INTEREST ALL OPERATIONS								

STONY BROOK REGIONAL SEWERAGE AUTHORITY

DEBT SERVICE PAYMENT SCHEDULE

LOAN DUE DATE	2020 NJEIT		2015 NJEIT		2010 NJEIT		2009 NJEIT		2007 NJEIT		TOTAL		NJEIT LOANS		BOND		TOTAL		2012 BOND	
	Interest	Principal	Interest	Principal	Interest	Principal	Interest	Principal	Interest	Principal	Interest	Principal	Interest	Principal	Interest	Principal	Interest	Principal	Interest	Principal
02/01/22	\$ 14,950	\$ 17,950	\$ 61,125	\$ 8,060	\$ 26,800	\$ 128,785	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
08/01/22	14,850	17,950	61,125	8,060	26,906	128,891	-	-	-	-	102,900	-	-	-	-	-	102,900	-	-	205,800
02/01/23	14,100	16,700	53,775	7,200	22,769	114,544	-	-	-	-	96,100	-	-	-	-	-	96,100	-	-	-
08/01/23	14,100	16,700	53,775	7,200	22,875	114,650	-	-	-	-	96,100	-	-	-	-	-	96,100	-	-	-
02/01/24	13,225	15,450	45,975	6,240	18,725	99,615	-	-	-	-	89,000	-	-	-	-	-	89,000	-	-	192,200
08/01/24	13,225	15,450	45,975	6,240	18,819	99,709	-	-	-	-	89,000	-	-	-	-	-	89,000	-	-	-
02/01/25	12,350	14,075	37,850	5,300	14,231	83,806	-	-	-	-	81,600	-	-	-	-	-	81,600	-	-	-
08/01/25	12,350	14,075	37,850	5,300	14,506	84,081	-	-	-	-	81,600	-	-	-	-	-	81,600	-	-	-
02/01/26	11,475	12,700	29,275	4,360	10,044	67,429	-	-	-	-	73,900	-	-	-	-	-	73,900	-	-	-
08/01/26	11,475	12,700	29,275	4,360	10,044	67,429	-	-	-	-	73,900	-	-	-	-	-	73,900	-	-	-
02/01/27	10,475	11,200	20,250	3,320	4,781	50,026	-	-	-	-	65,900	-	-	-	-	-	65,900	-	-	-
08/01/27	10,475	11,200	20,250	3,320	4,781	50,026	-	-	-	-	65,900	-	-	-	-	-	65,900	-	-	-
02/01/28	9,475	10,000	11,745	2,280	5,025	33,500	-	-	-	-	50,270	-	-	-	-	-	50,270	-	-	-
08/01/28	9,475	10,000	11,745	2,280	5,025	33,500	-	-	-	-	50,270	-	-	-	-	-	50,270	-	-	-
02/01/29	8,475	8,700	2,880	1,140	-	21,195	-	-	-	-	33,500	-	-	-	-	-	33,500	-	-	-
08/01/29	8,475	8,700	2,880	1,140	-	21,195	-	-	-	-	33,500	-	-	-	-	-	33,500	-	-	-
02/01/30	7,350	7,400	1,140	-	-	14,750	-	-	-	-	21,195	-	-	-	-	-	21,195	-	-	-
08/01/30	7,350	7,400	1,140	-	-	14,750	-	-	-	-	21,195	-	-	-	-	-	21,195	-	-	-
02/01/31	6,225	6,000	-	-	-	14,750	-	-	-	-	14,750	-	-	-	-	-	14,750	-	-	-
08/01/31	6,225	6,000	-	-	-	14,750	-	-	-	-	14,750	-	-	-	-	-	14,750	-	-	-
02/01/32	5,225	4,600	-	-	-	12,225	-	-	-	-	12,225	-	-	-	-	-	12,225	-	-	-
08/01/32	5,225	4,600	-	-	-	12,225	-	-	-	-	12,225	-	-	-	-	-	12,225	-	-	-
02/01/33	4,694	3,100	-	-	-	9,825	-	-	-	-	9,825	-	-	-	-	-	9,825	-	-	-
08/01/33	4,694	3,100	-	-	-	9,825	-	-	-	-	9,825	-	-	-	-	-	9,825	-	-	-
02/01/34	4,131	1,600	-	-	-	7,794	-	-	-	-	7,794	-	-	-	-	-	7,794	-	-	-
08/01/34	4,131	1,600	-	-	-	7,794	-	-	-	-	7,794	-	-	-	-	-	7,794	-	-	-
02/01/35	3,538	-	-	-	-	5,731	-	-	-	-	5,731	-	-	-	-	-	5,731	-	-	-
08/01/35	3,538	-	-	-	-	5,731	-	-	-	-	5,731	-	-	-	-	-	5,731	-	-	-
02/01/36	2,884	-	-	-	-	3,538	-	-	-	-	3,538	-	-	-	-	-	3,538	-	-	-
08/01/36	2,884	-	-	-	-	3,538	-	-	-	-	3,538	-	-	-	-	-	3,538	-	-	-
02/01/37	2,197	-	-	-	-	2,884	-	-	-	-	2,884	-	-	-	-	-	2,884	-	-	-
08/01/37	2,197	-	-	-	-	2,884	-	-	-	-	2,884	-	-	-	-	-	2,884	-	-	-
02/01/38	1,509	-	-	-	-	2,197	-	-	-	-	2,197	-	-	-	-	-	2,197	-	-	-
08/01/38	1,509	-	-	-	-	2,197	-	-	-	-	2,197	-	-	-	-	-	2,197	-	-	-
02/01/39	788	-	-	-	-	1,509	-	-	-	-	1,509	-	-	-	-	-	1,509	-	-	-
08/01/39	788	-	-	-	-	1,509	-	-	-	-	1,509	-	-	-	-	-	1,509	-	-	-
TOTAL	\$ 265,931	\$ 258,950	\$ 525,750	\$ 75,800	\$ 195,100	\$ 1,321,531	\$ 1,436,000	\$ 1,436,000	\$ 1,436,000	\$ 1,436,000	\$ 1,436,000	\$ 1,436,000	\$ 1,436,000	\$ 1,436,000	\$ 1,436,000	\$ 1,436,000	\$ 1,436,000	\$ 1,436,000	\$ 1,436,000	\$ 1,436,000

Net Position Reconciliation

STONY BROOK REGIONAL SEWERAGE AUTHORITY

For the Period December 1, 2021 to November 30, 2022

FY 2022 Proposed Budget

	Sewer	N/A	N/A	N/A	N/A	N/A	Total All Operations
TOTAL NET POSITION BEGINNING OF LATEST AUDIT REPORT YEAR(1)	\$ 37,249,021						\$ 37,249,021
Less: Invested in Capital Assets, Net of Related Debt (1)	33,668,511						33,668,511
Less: Restricted for Debt Service Reserve (1)	546,400						546,400
Less: Other Restricted Net Position (1)	1,199,450						1,199,450
Total Unrestricted Net Position (1)	1,834,660						1,834,660
Less: Designated for Non-Operating Improvements & Repairs							
Less: Designated for Rate Stabilization							
Less: Other Designated by Resolution							
Plus: Accrued Unfunded Pension Liability (1)	10,103,911						10,103,911
Plus: Accrued Unfunded Other Post-Employment Benefit Liability (1)	266,443						266,443
Plus: Estimated Income (Loss) on Current Year Operations (2)							
Plus: Other Adjustments (attach schedule)							
UNRESTRICTED NET POSITION AVAILABLE FOR USE IN PROPOSED BUDGET	10,886,200						10,886,200
Unrestricted Net Position Utilized to Balance Proposed Budget							
Unrestricted Net Position Utilized in Proposed Capital Budget	1,733,000						1,733,000
Appropriation to Municipality/County (3)	450,000						450,000
Total Unrestricted Net Position Utilized in Proposed Budget	2,183,000						2,183,000
PROJECTED UNRESTRICTED UNDESIGNATED NET POSITION AT END OF YEAR							
Last issued Audit Report (4)	\$ 8,703,200	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 8,703,200

(1) Total of all operations for this line item must agree to audited financial statements.

(2) Include budgeted and unbudgeted use of unrestricted net position in the current year's operations.

(3) Amount may not exceed 5% of total operating appropriations. See calculation below.

Maximum Allowable Appropriation to Municipality/County

(4) If Authority is projecting a deficit for any operation at the end of the budget period, the Authority must attach a statement explaining its plan to reduce the deficit, including the timeline for elimination of the deficit, if not already detailed in the budget narrative section.

2022

Stony Brook Regional Sewerage Authority

**AUTHORITY
CAPITAL
BUDGET/
PROGRAM**

2022 CERTIFICATION OF AUTHORITY CAPITAL BUDGET/PROGRAM

Stony Brook Regional Sewerage Authority

FISCAL YEAR: 2022 **FROM:** December 1, 2021 **TO:** November 30, 2022

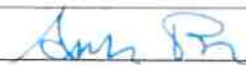
enter X to the left if this paragraph is applicable

It is hereby certified that the Authority Capital Budget/Program annexed hereto is a true copy of the Capital Budget/Program approved, pursuant to N.J.A.C. 5:31-2.2, along with the Annual Budget, by the governing body of the Stony Brook Regional Sewerage Authority, on the 23rd day of August, 2021.

OR

enter X to the left if this paragraph is applicable

It is hereby certified that the governing body of the _____ Authority have elected **NOT** to adopt a Capital Budget /Program for the aforesaid fiscal year, pursuant to N.J.A.C. 5:31-2.2 for the following reason(s): _____

Officer's Signature:			
Name:	Antonia Pchola, P.E.		
Title:	Executive Director		
Address:	290 River Road Princeton, NJ 08540		
Phone Number:	609-924-8881	Fax Number:	609-924-2857
E-mail address	apchola@sbrsa.org		

2022 CAPITAL BUDGET/PROGRAM MESSAGE

Stony Brook Regional Sewerage Authority

FISCAL YEAR: 2022 **FROM:** December 1, 2021 **TO:** November 30, 2022

1. Has each municipality or county affected by the actions of the authority participated in the development of the capital plan and reviewed or approved the plans or projects included **within** the Capital Budget/Program (This may include the governing body or certain officials such as planning boards, Construction Code Officials) as to these Projects? **YES, FINANCE OFFICER FROM EACH PARTICIPATING MUNICIPALITY HAVE REVIEWED BUDGET.**
2. Has each capital project/project financing been developed from a specific capital improvement plan or report; does it include full lifecycle costs; and is it consistent with appropriate elements of Master Plans or other plans in the jurisdiction(s) served by the authority? **MOST OF THE CAPITAL PROJECTS ARE DEVELOPED BASED ON OPERATIONAL NEED OR REGULATORY REQUIREMENTS. WHEN SUCH A NEED ARISES, STUDIES ARE CONDUCTED TO DETERMINE COST AND COST SAVINGS.**
3. Has a long-term (5 years or more) infrastructure needs and other capital items (Vehicles, Equipment) needs assessment been prepared? **NO**
4. If amounts are on Page CB-3 in the column Debt Authorizations. Indicate the primary source of funding the debt service for the Debt Authorizations (Example Rate Increases **Funding** or Other sources) **INCREASE IN CHARGES TO PARTICIPATING MUNICIPALITIES.**
5. Please indicate which capital projects/project financings are being undertaken in the Metropolitan or Suburban Planning Areas as defined in the State Development and Redevelopment Plan. **ALL PROJECTS**
6. Please indicate which capital projects/project financings are being undertaken within the **boundary** of a State Planning Commission-designated Center and/or Endorsed Plan and if the project was included in the Plan Implementation Agenda for that Center/Endorsed Plan. **ALL PROJECTS, BUT HAVE NOT BEEN INCLUDED ON THE PLAN IMPLEMENTATION AGENDA**

Add additional sheets if necessary.

Proposed Capital Budget

STONY BROOK REGIONAL SEWERAGE AUTHORITY

For the Period December 1, 2021 to November 30, 2022

	Estimated Total Cost	Funding Sources				
		Unrestricted Net Position Utilized	Renewal & Replacement Reserve	Debt Authorization	Capital Grants	Other Sources
<i>Sewer</i>						
Small Capital Projects	\$ 600,000	\$ 600,000				
Sludge Cake Storage Hopper	1,133,000	1,133,000				
RR UV Disinfection/Eff. Filtration	19,495,000	19,495,000				
Belt Filter Press	-	-				
Total	21,228,000	1,733,000 - 19,495,000 - -				
<i>N/A</i>						
RR Nitrate Removal 13.06 mgd	-	-				
Fly Ash Slurry Line Replacement	-	-				
Comminutor Millstone Pump Sta.	-	-				
Type in Description	-	-				
Total	-	-				
<i>N/A</i>						
Type in Description	-	-				
Type in Description	-	-				
Type in Description	-	-				
Type in Description	-	-				
Total	-	-				
<i>N/A</i>						
Type in Description	-	-				
Type in Description	-	-				
Type in Description	-	-				
Type in Description	-	-				
Total	-	-				
<i>N/A</i>						
Type in Description	-	-				
Type in Description	-	-				
Type in Description	-	-				
Type in Description	-	-				
Total	-	-				
<i>N/A</i>						
Type in Description	-	-				
Type in Description	-	-				
Type in Description	-	-				
Type in Description	-	-				
Total	-	-				
TOTAL PROPOSED CAPITAL BUDGET	\$ 21,228,000	\$ 1,733,000 \$ - \$ 19,495,000 \$ - \$ -				

Enter brief description of up to four projects for each operation above. For operations with more than four budgeted projects, please attach additional schedules. Input total amount of all projects for the operation on single line and enter "See Attached Schedule" instead of project description.

5 Year Capital Improvement Plan

STONY BROOK REGIONAL SEWERAGE AUTHORITY

For the Period December 1, 2021 to November 30, 2022

Fiscal Year Beginning in

	Estimated Total Cost	Current Budget					
		Year 2022	2023	2024	2025	2026	2027
<i>Sewer</i>							
Small Capital Projects	\$ 3,600,000	\$ 600,000	\$ 600,000	\$ 600,000	\$ 600,000	\$ 600,000	\$ 600,000
Sludge Cake Storage Hopper	1,133,000	1,133,000					
RR UV Disinfection/Eff. Filtration	19,495,000	19,495,000					
Belt Filter Press	250,000	-	250,000				
Total	24,478,000	21,228,000	850,000	600,000	600,000	600,000	600,000
<i>N/A</i>							
RR Nitrate Removal 13.06 mgd	1,700,000	-	\$ 25,000	\$ 150,000	\$ 1,500,000	\$ 25,000	
Fly Ash Slurry Line Replacement	250,000	-	250,000				
Comminutor Millstone Pump Sta.	1,400,000	-		1,400,000			
Type in Description	-	-					
Total	3,350,000	-	275,000	1,550,000	1,500,000	25,000	-
<i>N/A</i>							
Type in Description	-	-					
Type in Description	-	-					
Type in Description	-	-					
Type in Description	-	-					
Total	-	-	-	-	-	-	-
<i>N/A</i>							
Type in Description	-	-					
Type in Description	-	-					
Type in Description	-	-					
Type in Description	-	-					
Total	-	-	-	-	-	-	-
<i>N/A</i>							
Type in Description	-	-					
Type in Description	-	-					
Type in Description	-	-					
Type in Description	-	-					
Total	-	-	-	-	-	-	-
<i>N/A</i>							
Type in Description	-	-					
Type in Description	-	-					
Type in Description	-	-					
Type in Description	-	-					
Total	-	-	-	-	-	-	-
TOTAL	\$ 27,828,000	\$ 21,228,000	\$ 1,125,000	\$ 2,150,000	\$ 2,100,000	\$ 625,000	\$ 600,000

Project descriptions entered on Page CB-3 will carry forward to Pages CB-4 and CB-5. No need to re-enter project descriptions above.

5 Year Capital Improvement Plan Funding Sources

STONY BROOK REGIONAL SEWERAGE AUTHORITY
 For the Period December 1, 2021 to November 30, 2022

	Estimated Total Cost	Funding Sources			
		Unrestricted Net Position Utilized	Renewal & Replacement Reserve	Debt Authorization	Capital Grants Other Sources
<i>Sewer</i>					
Small Capital Projects	\$ 3,600,000	\$ 3,600,000			
Sludge Cake Storage Hopper	1,133,000	1,133,000			
RR UV Disinfection/Eff. Filtration	19,495,000	19,495,000			
Belt Filter Press	250,000	250,000			
Total	24,478,000	4,983,000 - 19,495,000 -			
<i>N/A</i>					
RR Nitrate Removal 13.06 mgd	1,700,000	\$ 1,700,000			
Fly Ash Slurry Line Replacement	250,000	250,000			
Comminutor Millstone Pump Sta.	1,400,000	1,400,000			
Type in Description	-				
Total	3,350,000	3,350,000 - - -			
<i>N/A</i>					
Type in Description	-				
Type in Description	-				
Type in Description	-				
Type in Description	-				
Total	-	- - - -			
<i>N/A</i>					
Type in Description	-				
Type in Description	-				
Type in Description	-				
Type in Description	-				
Total	-	- - - -			
<i>N/A</i>					
Type in Description	-				
Type in Description	-				
Type in Description	-				
Type in Description	-				
Total	-	- - - -			
<i>N/A</i>					
Type in Description	-				
Type in Description	-				
Type in Description	-				
Type in Description	-				
Total	-	- - - -			
TOTAL	\$ 27,828,000	\$ 8,333,000 \$ - \$ 19,495,000 \$ -			
Total 5 Year Plan per CB-4	\$ 27,828,000				
Balance check		- If amount is other than zero, verify that projects listed above match projects listed on CB-4.			

Project descriptions entered on Page CB-3 will carry forward to Pages CB-4 and CB-5. No need to re-enter project descriptions above.